

# EMERGENT INDUSTRIAL SOLUTIONS LIMITED

(formerly Emergent Global Edu and Services Limited)

CIN L80902DL1983PLC209722

Regd. Office: 8-B, 'Sagar', 6, Tilak Marg, New Delhi – 110 001;

Phones: (91) (11) 2378 2022, 2338 2592 ; Fax: (91) (11) 2378 2806, 23381914 ;

Email: [sotl@somanigroup.com](mailto:sotl@somanigroup.com); [cs@somanigroup.com](mailto:cs@somanigroup.com); Website: [www.eesl.in](http://www.eesl.in)

January 25, 2023

Manager – Listing,  
Corporate Relationship Department  
Bombay Stock Exchange Limited,  
Floor 25, Phiroze Jeejeebhoy Towers,  
Dalal Street,  
**Mumbai –400 001**

**Scrip Code: 506180**

**Sub: Compliance of Regulations 3(5) and 3(6) of Securities and Exchange Board of  
India (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir,

Pursuant to Regulations 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 please find enclosed Compliance certificate for the quarter ended on 31.12.2022.

We hope you will find the same in order.

Thanking you,

Yours faithfully,

For Emergent Industrial Solutions Limited

(Sabina Nagpal)

Compliance Officer

Encl:a/a

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## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Sabina Nagpal, Compliance Officer of Emergent Industrial Solutions Limited (“Company”) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that :

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that there was no noncompliance(s) which were observed in the previous quarter.

Thanking you,

Yours Faithfully,  
For Emergent Industrial Solutions Limited

(Sabina Nagpal)  
Compliance Officer